

**UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE DIRECTOR OF THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

In the Matter of:

Bambi Walters,

Respondent

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Proceeding No. D2012-23

FINAL ORDER PURSUANT TO 37 C.F.R. § 11.24

Pursuant to 37 C.F.R. § 11.24(d), the exclusion of Bambi Walters (Respondent) from the practice of patent, trademark and other non-patent law before the United States Patent and Trademark Office (USPTO or Office) is hereby ordered for violation of the ethical standard set out in 37 C.F.R. §§ 10.23(a) and (b) via 37 C.F.R. § 10.23(c)(5)(i).

Background

On April 30, 2012, in *North Carolina State Bar v. Bambi F Walters* (April 30, 2012), the Disciplinary Hearing Commission of the North Carolina State Bar disbarred Respondent by consent from the practice of law in that jurisdiction on ethical grounds.

On July 25, 2012, a “Notice and Order Pursuant to 37 C.F.R. § 11.24” (Notice and Order) was mailed by certified mail (receipt no. 70113500000314480209) to the Respondent at the last address known to the Deputy General Counsel for Enrollment and Discipline and Director of the Office of Enrollment and Discipline (OED Director). The Notice and Order informed Respondent that the OED Director had filed a “Complaint for Reciprocal Discipline Pursuant to 37 C.F.R. § 11.24” (Complaint) requesting that the USPTO Director impose reciprocal discipline upon Respondent identical to discipline imposed by the Disciplinary Hearing Commission of the North Carolina State Bar set forth

above. The Notice and Order provided Respondent an opportunity to file, within forty days, a response opposing, based on one or more of the reasons provided in 37 C.F.R.

§ 11.24(d)(1), the imposition of reciprocal discipline based on the Order in *North Carolina State Bar v. Bambi F. Walters* (April 30, 2012). On October 9, 2012, the Notice and Order was returned as undeliverable with the explanation "Unclaimed."

Due to the inability to serve Respondent at her last known address, Respondent was served by publication, pursuant to 37 C.F.R. § 11.24, in the *Official Gazette* on October 23, 2012 and October 30, 2012. The notice in the *Official Gazette* informed Respondent that the OED Director had initiated, on July 13, 2012, a proceeding to impose reciprocal discipline, based on the Order in *North Carolina State Bar v. Bambi F. Walters* (April 30, 2012). The notice in the *Official Gazette* also informed Respondent that, on July 25, 2012, a Notice and Order had been issued and mailed to her last known address, but was returned as undeliverable. The notice in the *Official Gazette* further provided directions on how Respondent could request a copy of the Notice and Order and the supporting documents that had been sent to her at her last known address.

Analysis

In light of Respondent's failure to file a response, it is hereby determined that: (1) there is no genuine issue of material fact under 37 C.F.R. § 11.24(d); and (2) the exclusion of Respondent from practice before the USPTO is appropriate.

ACCORDINGLY, it is hereby **ORDERED** that:

- A. Respondent is excluded from the practice of patent, trademark and other non-patent law before the USPTO effective the date of this Final Order;

B. The OED Director publish the following Notice in the Official Gazette:

NOTICE OF EXCLUSION

This notice concerns Bambi F. Walters of Williamsburg, Virginia, who is a registered patent attorney (Registration Number 45,197). In a reciprocal disciplinary proceeding, the Director of the United States Patent and Trademark Office has ordered that Ms. Walters be excluded from practice before the United States Patent and Trademark Office in patent, trademark, and other non-patent law cases for violating 37 C.F.R. §§ 10.23(a) and (b) via 37 C.F.R. § 10.23(c)(5)(i) by being disbarred on consent from the practice of law in the State of North Carolina on ethical grounds.

Ms. Walters was disbarred on consent from practicing law in North Carolina based on her misappropriation of entrusted client funds from her trust account.

This action is taken pursuant to the provisions of 35 U.S.C. § 32 and 37 C.F.R. § 11.24. Disciplinary decisions involving practitioners are posted for public reading at the Office of Enrollment and Discipline's Reading Room located at: <http://des.uspto.gov/Foia/OEDReadingRoom.jsp>.

C. Direct the OED Director to give notice pursuant to 37 C.F.R. § 11.59 of the public discipline and the reasons for the discipline to disciplinary enforcement agencies in the state(s) where Respondent is admitted to practice, to courts where Respondent is known to be admitted, and to the public; and

D. Direct such other and further relief as the nature of this cause shall require.

Respectfully Submitted,

NOV 19 2012

Date



James O. Payne
Deputy General Counsel for General Law
United States Patent and Trademark Office

on behalf of

David Kappos
Under Secretary of Commerce For Intellectual
Property and Director of the United States Patent
and Trademark Office

NOTICE OF EXCLUSION

This notice concerns Bambi F. Walters of Williamsburg, Virginia, who is a registered patent attorney (Registration Number 45,197). In a reciprocal disciplinary proceeding, the Director of the United States Patent and Trademark Office ("USPTO") has ordered that Ms. Walters be excluded from the practice of patent, trademark, and other non-patent law before the USPTO for violating 37 C.F.R. §§ 10.23(a) and (b) via 37 C.F.R. § 10.23(c)(5)(i) by being disbarred on consent from the practice of law in the State of North Carolina on ethical grounds.

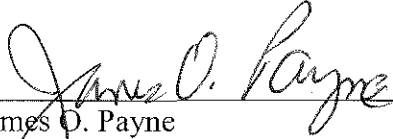
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Respectfully Submitted,

NOV 15 2012

Date


James O. Payne
Deputy General Counsel for General Law
United States Patent and Trademark Office

on behalf of

David Kappos
Under Secretary of Commerce For Intellectual
Property and Director of the United States Patent
and Trademark Office