AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	**REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK**

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
2:15-cv-1543-JRG-RSP	9/18/2015	Eastern District of Texas

PLAINTIFF	DEFENDANT
Rockwell Automation, Inc.	3S-Smart Software Solutions GmbH

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 5,619,409	4/8/1997	Rockwell Automation, Inc.
2 5,636,124	6/3/1997	Rockwell Automation, Inc.
3 5,812,133	9/22/1998	Rockwell Automation, Inc.
4 5,818,711	10/6/1998	Rockwell Automation, Inc.
5 see attached		see attached

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director **Copy 3—Upon termination of action, mail this copy to Director**
Copy 2—Upon filing document adding patent(s), mail this copy to Director **Copy 4—Case file copy**

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Continued

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
5,844,795	12/1/1998	Rockwell Automation, Inc.
5,845,149	12/1/1998	Rockwell Automation, Inc.
5,940,293	8/17/1999	Rockwell Automation, Inc.
6,138,174	10/24/2000	Rockwell Automation, Inc.
6,247,168	6/12/2001	Rockwell Automation, Inc.
6,675,226	6/6/2004	Rockwell Automation, Inc.
6,816,817	11/9/2004	Rockwell Automation, Inc.
6,978,225	10/20/2005	Rockwell Automation, Inc.
7,130,704	10/31/2006	Rockwell Automation, Inc.
7,143,366	11/28/2006	Rockwell Automation, Inc.
7,693,585	4/6/2010	Rockwell Automation, Inc.
7,716,567	5/11/2010	Rockwell Automation, Inc.
7,836,122	11/16/2010	Rockwell Automation, Inc.