AO 120 (Rev. 08/10)

| TO: Mail Stop 8<br>Director of the U.S. Patent and Trademark Office<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Western District of Wisconsin on the following

☐ Trademarks or ☑ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

| DOCKET NO. | DATE FILED | U.S. DISTRICT COURT |
|---|---|---|
| 3:17-cv-27 | 1/12/2017 | Western District of Wisconsin |

| PLAINTIFF | DEFENDANT |
|---|---|
| Cellular Dynamics International, Inc. and Wisconsin Alumni Research Foundation | Lonza Walkersville, Inc. |

| | PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|---|
| 1 | 8,183,038 | 5/22/2012 | Wisconsin Alumni Research Foundation |
| 2 | 8,268,620 | 9/18/2012 | Wisconsin Alumni Research Foundation |
| 3 | 8,546,140 | 10/1/2013 | Cellular Dynamics International, Inc. |
| 4 | 8,691,574 | 4/8/2014 | Cellular Dynamics International, Inc. |
| 5 | 8,741,648 | 6/3/2014 | Cellular Dynamics International, Inc. |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED | INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading | |
|---|---|---|
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |

In the above—entitled case, the following decision has been rendered or judgement issued:

| DECISION/JUDGEMENT |
|---|
| |

| CLERK | (BY) DEPUTY CLERK | DATE |
|---|---|---|
| Peter Oppeneer | s/ A. Wiseman, Deputy Clerk | 1/17/2017 |

**Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director**
**Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy**

**AO 120 (Rev. 08/10) cont.**

**REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK**

**Case No. 3:17-cv-27**

| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|
| 8,765,470 | 7/1/2014 | Cellular Dynamics International, Inc. |
| 9,447,382 | 9/20/2016 | Cellular Dynamics International, Inc. |