AO 120 (Rev. 08/10)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 16-40	DATE FILED 1/26/2016	U.S. DISTRICT COURT for the District of Delaware
PLAINTIFF NOVARTIS PHARMACEUTICALS CORPORATION and NOVARTIS AG		DEFENDANT WOCKHARDT BIO AG and WOCKHARDT USA LLC

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,894,051	5/17/2005	Novartis AG
2 RE43,932	1/15/2013	Novartis AG
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

Stipulation of Dismissal

CLERK	(BY) DEPUTY CLERK	DATE
John A. Cerino		12-22-2016

Copy 1—Upon initiation of action, mail this copy to Director **Copy 3—Upon termination of action, mail this copy to Director**
Copy 2—Upon filing document adding patent(s), mail this copy to Director **Copy 4—Case file copy**

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE

NOVARTIS PHARMACEUTICALS CORPORATION and NOVARTIS AG,	)	
Plaintiffs,	)	Civil Action No. 16-40-LPS
v.	)	
WOCKHARDT BIO AG and WOCKHARDT USA LLC,	)	
Defendants.	)	

STIPULATION AND [PROPOSED] ORDER OF DISMISSAL WITHOUT PREJUDICE UNDER FEDERAL RULE OF CIVIL PROCEDURE 41(a)(2)

WHEREAS, Plaintiffs Novartis Pharmaceuticals Corporation and Novartis AG (together, "Novartis") and Defendants Wockhardt Bio AG and Wockhardt USA LLC ("Wockhardt") are parties in the above-captioned proceeding;

IT IS HEREBY STIPULATED AND AGREED by the parties to the above-captioned action, by and through their undersigned counsel, and subject to the approval of the Court, that pursuant to Federal Rule of Civil Procedure 41(a)(2), the above-captioned action is hereby dismissed in its entirety without prejudice, with each party to bear its own costs and attorneys' fees in this action.

Dated: December 19, 2016

McCARTER & ENGLISH, LLP

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SO ORDERED:

United States District Judge

Dated: December 20, 2016
Wilmington, Delaware