AO 120 (Rev. 08/10)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court District of Delaware on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
14-cv-1457-SLR/SRF	12/3/2014	District of Delaware

PLAINTIFF	DEFENDANT
Round Rock Research, LLC	Canon Inc., Canon U.S.A., Inc., and Canon Financial Services, Inc.

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 See Attached		
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY
4/10/2015	☑ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,151,023	11/21/2000	Round Rock Research, LLC
2 US 6,170,067 B1	1/2/2001	Round Rock Research, LLC
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

Stipulation of Dismissal so ordered by Judge Robinson on 1/7/16.

CLERK	(BY) DEPUTY CLERK	DATE
John A. Cerino	D. Scarpato	1/7/2016

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	US 5,986,347	11/16/1999	Round Rock Research, LLC
2	US 6,147,405	11/14/2000	Round Rock Research, LLC
3	US 6,358,801 B1	3/19/2002	Round Rock Research, LLC
4	US 6,455,935 B1	9/24/2002	Round Rock Research, LLC
5	US 6,459,617 B1	10/1/2002	Round Rock Research, LLC
6	US 6,828,683 B2	12/7/2004	Round Rock Research, LLC