AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	**REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK**

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Central District of California on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
8:16-cv-01774-JVS-JCGx	9/23/2016	Central District of California

PLAINTIFF	DEFENDANT
BROADCOM CORPORATION AND AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD.	AMAZON.COM, INC. AND AMAZON WEB SERVICES, INC.

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 See Attachment A		
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

ATTACHMENT A

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	5,870,087	February 9, 1999	Avago Technologies General IP (Singapore) Pte. Ltd.
2	6,744,387	June 1, 2004	Avago Technologies General IP (Singapore) Pte. Ltd.
3	6,982,663	January 3, 2006	Avago Technologies General IP (Singapore) Pte. Ltd.
4	7,006,636	February 28, 2006	Avago Technologies General IP (Singapore) Pte. Ltd.
5	7,583,805	September 1, 2009	Avago Technologies General IP (Singapore) Pte. Ltd.
6	6,430,148	August 6, 2002	Avago Technologies General IP (Singapore) Pte. Ltd.
7	6,766,389	July 20, 2004	Broadcom Corporation
8	7,296,295	November 13, 2007	Broadcom Corporation
9	8,284,844	October 9, 2012	Broadcom Corporation
10	6,501,480	December 31, 2002	Broadcom Corporation
11	6,341,375	January 22, 2002	Avago Technologies General IP (Singapore) Pte. Ltd.