AO 120 (Rev. 08/10)

| TO: Mail Stop 8<br>Director of the U.S. Patent and Trademark Office<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | **REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK** |
|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Central District of California on the following

☐ Trademarks or ☑ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

| DOCKET NO. | DATE FILED | U.S. DISTRICT COURT |
|---|---|---|
| 8:16-cv-01774-JVS-JCGx | 9/23/2016 | Central District of California |

| PLAINTIFF | DEFENDANT |
|---|---|
| BROADCOM CORPORATION AND AVAGO TECHNOLOGIES GENERAL IP (SINGAPORE) PTE. LTD. | AMAZON.COM, INC. AND AMAZON WEB SERVICES, INC. |

| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|
| 1 See Attachment A | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED | INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☐ Other Pleading | |
|---|---|---|
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 | | |
| 2 | | |
| 3 | | |
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In the above—entitled case, the following decision has been rendered or judgement issued:

| DECISION/JUDGEMENT |
|---|
| |

| CLERK | (BY) DEPUTY CLERK | DATE |
|---|---|---|
| | | |

**Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director**
**Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy**

**ATTACHMENT A**

| | PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|---|
| 1 | 5,870,087 | February 9, 1999 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 2 | 6,744,387 | June 1, 2004 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 3 | 6,982,663 | January 3, 2006 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 4 | 7,006,636 | February 28, 2006 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 5 | 7,583,805 | September 1, 2009 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 6 | 6,430,148 | August 6, 2002 | Avago Technologies General IP (Singapore) Pte. Ltd. |
| 7 | 6,766,389 | July 20, 2004 | Broadcom Corporation |
| 8 | 7,296,295 | November 13, 2007 | Broadcom Corporation |
| 9 | 8,284,844 | October 9, 2012 | Broadcom Corporation |
| 10 | 6,501,480 | December 31, 2002 | Broadcom Corporation |
| 11 | 6,341,375 | January 22, 2002 | Avago Technologies General IP (Singapore) Pte. Ltd. |