AO 120 (Rev. 08/10)

| TO: | Mail Stop 8<br>Director of the U.S. Patent and Trademark Office<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
|---|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Massachusetts on the following

☐ Trademarks or ☑ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

| DOCKET NO. | DATE FILED 11/4/2016 | U.S. DISTRICT COURT for the District of Massachusetts |
|---|---|---|
| PLAINTIFF<br>Edwards Lifesciences CardiAQ, LLC | | DEFENDANT<br>Kenneth Perry and EchoBio, LLC |

| | PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|---|---|---|---|
| 1 | 8,795,356 | 8/5/2014 | Edwards Lifesciences CardiAQ, LLC |
| 2 | 8,894,702 | 11/25/2014 | Edwards Lifesciences CardiAQ, LLC |
| 3 | 8,911,455 | 12/16/2014 | Edwards Lifesciences CardiAQ, LLC |
| 4 | 9,339,377 | 5/17/2016 | Edwards Lifesciences CardiAQ, LLC |
| 5 | 9,339,379 | 5/17/2016 | Edwards Lifesciences CardiAQ, LLC |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED 11/4/2016 | INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☑ Other Pleading | |
|---|---|---|
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 9,333,073 | 5/10/2016 | Edwards Lifesciences CardiAQ, LLC |
| 2 8,414,644 | 4/9/2013 | Edwards Lifesciences CardiAQ, LLC |
| 3 | | |
| 4 | | |
| 5 | | |

In the above—entitled case, the following decision has been rendered or judgement issued:

| DECISION/JUDGEMENT |
|---|
| |

| CLERK | (BY) DEPUTY CLERK | DATE |
|---|---|---|
| | | |

**Copy 1—Upon initiation of action, mail this copy to Director** **Copy 3—Upon termination of action, mail this copy to Director**
**Copy 2—Upon filing document adding patent(s), mail this copy to Director** **Copy 4—Case file copy**