AO 120 (Rev. 08/10)

TO:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Massachusetts on the following

☐ Trademarks or ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO.	DATE FILED 11/4/2016	U.S. DISTRICT COURT for the District of Massachusetts
PLAINTIFF Edwards Lifesciences CardiAQ, LLC		DEFENDANT Kenneth Perry and EchoBio, LLC

	PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1	8,795,356	8/5/2014	Edwards Lifesciences CardiAQ, LLC
2	8,894,702	11/25/2014	Edwards Lifesciences CardiAQ, LLC
3	8,911,455	12/16/2014	Edwards Lifesciences CardiAQ, LLC
4	9,339,377	5/17/2016	Edwards Lifesciences CardiAQ, LLC
5	9,339,379	5/17/2016	Edwards Lifesciences CardiAQ, LLC

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED 11/4/2016	INCLUDED BY ☐ Amendment ☐ Answer ☐ Cross Bill ☑ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 9,333,073	5/10/2016	Edwards Lifesciences CardiAQ, LLC
2 8,414,644	4/9/2013	Edwards Lifesciences CardiAQ, LLC
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director **Copy 3—Upon termination of action, mail this copy to Director**
Copy 2—Upon filing document adding patent(s), mail this copy to Director **Copy 4—Case file copy**