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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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*Ex parte* NAOYOSHI UEDA, MASAHIKO UEDA,  
YASUSHI SUGIMOTO, TAKASHI NAKAMURA, SEIJI HAYASE, and  
WATARU KIMIZUKA

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Appeal 2019-000027  
Application 14/849,130  
Technology Center 3700

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Before MICHAEL C. ASTORINO, CYNTHIA L. MURPHY, and  
KENNETH G. SCHOPFER, *Administrative Patent Judges*.

ASTORINO, *Administrative Patent Judge*.

DECISION ON APPEAL

Pursuant to 35 U.S.C. § 134(a), the Appellant<sup>1</sup> appeals from the Examiner's decision to reject claims 1, 5, 9, 11, 14 and 18. We have jurisdiction under 35 U.S.C. § 6(b). An oral hearing was held on May 28, 2020.

We REVERSE.

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<sup>1</sup> We use the word "Appellant" to refer to "applicant" as defined in 37 C.F.R. § 1.42. The Appellant identifies the real party in interest as DUNLOP SPORTS CO. LTD. Appeal Br. 1.

STATEMENT OF THE CASE

*Claimed Subject Matter*

Claim 1, the sole independent claim, is representative of the subject matter on appeal and is reproduced below.

1. A golf club comprising:

a head, a shaft with a shaft axis, and a grip, wherein:

if a club inertia moment about a swing axis is defined as  $I_{sw}$  ( $\text{kg}\cdot\text{cm}^2$ ), and a club inertia moment about an axis perpendicular to the shaft axis and passing through a grip end is defined as  $I_{ge}$  ( $\text{kg}\cdot\text{cm}^2$ ), wherein the swing axis is parallel to the axis passing through the grip end, and away from the grip end with a distance of 60 cm from the grip end so that the grip end is positioned between the swing axis and the head,

the inertia moment  $I_{ge}$  is 2760 ( $\text{kg}\cdot\text{cm}^2$ ) or greater and less than 2820 ( $\text{kg}\cdot\text{cm}^2$ ), and  $I_{sw}/I_{ge}$  is equal to or less than 2.42; and

if a club weight is defined as  $W_c$  (kg), an axial direction distance from the grip end to a center of gravity of the club is defined as  $L_c$  (cm), and a club inertia moment about the center of gravity of the club is defined as  $I_c$  ( $\text{kg}\cdot\text{cm}^2$ ),

the inertia moment  $I_{sw}$  ( $\text{kg}\cdot\text{cm}^2$ ) is calculated by Equation (1) below, and the inertia moment  $I_{ge}$  ( $\text{kg}\cdot\text{cm}^2$ ) is calculated by Equation (2) below:

$$I_{sw} = W_c \times (L_c + 60)^2 + I_c \quad (1)$$

$$I_{ge} = W_c \times (L_c)^2 + I_c \quad (2), \text{ and}$$

wherein a head weight  $W_h$  is equal to or greater than 0.188 kg, and a grip weight  $W_g$  is equal to or less than 0.026 kg.

Appeal Br., Claims App. 1.

*Rejection*

Claims 1, 5, 9, 11, 14, and 18 are rejected under 35 U.S.C. § 103 as being unpatentable over Nakamura et al. (US 2013/0095945 A1, pub. Apr. 18, 2013) (“Nakamura”) and Beno et al. (US 2013/0029781 A1, pub. Jan. 31, 2013) (“Beno”).

ANALYSIS

The Examiner relies on Nakamura to teach all of the subject matter of independent claim 1, except for grip weight  $W_g$  being equal to or less than 0.0260 kg. *See* Non-Final Act. 2–5. Indeed, as the Examiner finds, Nakamura teaches a grip weight  $W_g$  higher than 0.0260 kg. *See id.* at 3 (citing Nakamura ¶ 40). To remedy this deficiency, the Examiner relies on Beno’s teachings. *Id.* at 4. The Examiner finds that Beno teaches a grip weight  $W_g$  of 0.024 kg. *Id.* (citing Beno ¶ 30). The Examiner modifies Nakamura’s teaching of a golf club with Beno’s teaching of a lower grip weight  $W_g$ . This modification lowers the club weight  $W_c$  of Nakamura’s golf club, which the Examiner acknowledges. *Id.* (“As with Nakamura, Beno’s grip weight contribut[es] to the performance of the golf club to redistribute the weight to the other parts of the golf club and thus *reduc[es] the overall weight of the golf club*, which is extremely desirable in the golf club art.” (emphasis added)); *see* Ans. 7 (“It is evident that forming a golf club which is lighter (due for example to a lighter grip weight) . . .”).

The Appellant points out that the Examiner’s modification of Nakamura’s grip weight  $W_g$  not only changes grip weight  $W_g$  and club weight  $W_c$ , but also changes other parameters of the golf club including inertia moments  $I_{sw}$ ,  $I_{ge}$ , and  $I_c$ . *See* Appeal Br. 6–7. The Appellant

contends that the Examiner's rejection lacks adequate support because it fails to properly account for the changes in the weight  $W_c$  and consequently, changes in the inertia moments  $I_{sw}$ ,  $I_{ge}$ , and  $I_c$ . *See id.* The Appellant's argument is persuasive.

For example, the Examiner's rejection relies on Nakamura's teaching of tested golf clubs including comparative example 20, which has a grip weight  $W_g$  of 0.0375 kg and a club weight  $W_c$  of 0.270 kg. Non-Final Act. 3; Nakamura, ¶ 130, Table 5. Notably, club weight  $W_c$  is a parameter used to calculate club inertia moment about a swing axis  $I_{sw}$  and club inertia moment about an axis perpendicular to the shaft axis and passing through a grip end  $I_{ge}$ . More specifically, as recited in claim 1,

$$I_{sw} = W_c \times (L_c + 60)^2 + I_c \quad (1)$$

$$I_{ge} = W_c \times (L_c)^2 + I_c \quad (2).$$

Appeal Br., Claims App. 1. As a result of the Examiner's modification, grip weight  $W_g$  is lowered from 0.0375 kg to 0.026 kg, which lowers club weight  $W_c$  by 0.011 kg, which effects inertia moments  $I_{sw}$  and  $I_{ge}$  as well as the ratio of  $I_{sw}/I_{ge}$ . Besides club weight  $W_c$ , the equations for  $I_{sw}$  and  $I_{ge}$  include axial direction distance from the grip end to a center of gravity of the club  $L_c$  and club inertia moment about the center of gravity of the club  $I_c$ . *Id.* While  $L_c$  may or may not change due to the change in club weight  $W_c$ , the inertia moment  $I_c$ , similar to  $I_{sw}$  and  $I_{ge}$ , will be affected.

The Examiner does not address how the modification of grip weight  $W_g$  of Nakamura's golf club in comparative example 20 — or any other golf club as taught by Nakamura — changes its club weight  $W_c$  or the values of the golf club's inertia moments, such as  $I_{sw}$ ,  $I_{ge}$ , or the ratio of  $I_{sw}/I_{ge}$ . Therefore, we agree with the Appellant that Examiner's rejection

lacks adequate support. For example, the Examiner does not address how the inertia moment  $I_{ge}$  changes after the grip weight  $W_g$  and consequently, club weight  $W_c$ , is modified, and if the modified value of the inertia moment  $I_{ge}$  is within the claimed range, i.e., “2760 ( $\text{kg}\cdot\text{cm}^2$ ) or greater and less than 2820 ( $\text{kg}\cdot\text{cm}^2$ ).” Appeal Br., Claims App. 1.

Further, it is notable that the Examiner’s rejection of claim 1 includes a modification of Nakamura’s grip weight  $W_g$  “based [on] routine experimentation for the reason that a skilled artisan would have been motivated to form the optimum grip’s weight thus providing the optimum grip’s weight and providing the optimum grip that is durable enough and yet not too heavy to alter/ influence a golfer’s swing.” Non-Final Act. 5. Additionally, in response to arguments presented in the Appeal Brief, the Examiner appears to extend the theory of routine experimentation and optimization to the ratio of  $I_{sw}/I_{ge}$ . *See* Ans. 10–11. Even if the rationale for the proposed modification was sufficient, the deficiency in the Examiner’s rejection as discussed above remains. Namely, the Examiner never acknowledges that changing grip weight  $W_g$  causes a change in values of other claimed parameters of a golf club, such as the inertia moment  $I_{ge}$ .

Thus, we do not sustain the Examiner’s rejection of independent claim 1 and claims 5, 9, 11, 14, and 18, which depend therefrom.

CONCLUSION

In summary:

<b>Claims Rejected</b>	<b>35 U.S.C. §</b>	<b>References/Basis</b>	<b>Affirmed</b>	<b>Reversed</b>
1, 5, 9, 11, 14, 18	103	Nakamura, Beno		1, 5, 9, 11, 14, 18

REVERSED