



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/020,343	09/06/2013	Sangho Cho	75148-US-NP (DOW0017US)	3578
14268	7590	02/12/2018	EXAMINER	
The Dow Chemical Company/Cantor Colburn LLP 20 Church Street 22nd Floor Hartford, CT 06103-3207			WALKE, AMANDA C	
			ART UNIT	PAPER NUMBER
			1722	
			NOTIFICATION DATE	DELIVERY MODE
			02/12/2018	ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

ffuimpc@dow.com  
usptopatentmail@CantorColburn.com

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

*Ex parte* SANGHO CHO, GUORONG SUN,  
KAREN L. WOOLEY, JAMES W. THACKERAY,  
and PETER TREFONAS III

---

Appeal 2017-005339  
Application 14/020,343  
Technology Center 1700

---

Before TERRY J. OWENS, AVELYN M. ROSS, and  
SHELDON M. McGEE, *Administrative Patent Judges*.

OWENS, *Administrative Patent Judge*.

DECISION ON APPEAL

STATEMENT OF THE CASE

The Appellants appeal under 35 U.S.C. § 134(a) from the Examiner's rejection of claims 1–5. We have jurisdiction under 35 U.S.C. § 6(b).

*The Invention*

The Appellants claim a graft copolymer. Claim 1 is illustrative:

1. A graft block copolymer comprising:  
a first block polymer; the first block polymer comprising a backbone polymer and a first graft polymer; where the first graft polymer comprises a repeat unit comprising a surface energy reducing moiety chosen from a halocarbon containing moiety, a silicon containing moiety, or a combination of a halocarbon moiety and a silicon containing moiety; and

a second block polymer; the second block polymer being covalently bonded to the first block; wherein the second block comprises the backbone polymer and a second graft polymer; where the second graft polymer comprises a functional group that is operative to undergo acid-catalyzed deprotection causing a change of solubility of the graft block copolymer in a developer solvent.

*The Reference*

Xia

US 2013/0324666 A1

Dec. 5, 2013

*The Rejection*

Claims 1–5 stand rejected under 35 U.S.C. § 103 over Xia.

OPINION

We affirm the rejection.

The Appellants argue the claims as a group (App. Br. 5–12). We therefore limit our discussion to one claim, i.e., claim 1, which is the sole independent claim. Claims 2–5 stand or fall with that claim. *See* 37 C.F.R. § 41.37(c)(1)(iv) (2012).

Xia's formulas FX4 and FX5 show a graft copolymer comprising a first block polymer having a backbone polymer (portion within ( )<sub>m</sub>) and a first graft copolymer with an aryl repeat unit (portion within ( )<sub>p</sub>) which, Xia indicates, can be fluorinated (¶¶ 141, 142, 154), and a second block polymer which is covalently bonded to the first block polymer and has a backbone polymer (portion within ( )<sub>n</sub>) and a second graft polymer with an ester group repeat unit (portion within ( )<sub>q</sub>) which is a functional group operative to undergo acid-catalyzed deprotection causing a change of solubility of the graft block copolymer in a developer solvent (Appellants' Spec. ¶ 37).

The Appellants assert that “the structure of Xia could contain a variety of different molecules that contain heteroatoms and none of these heteroatoms could be repeat units of a graft copolymer (that contain a surface energy reducing moiety) that is bonded to each repeat unit of a block polymer” (Reply Br. 4), and Xia is “so overly broad and vague that a skilled person [sic, person] in the art could not arrive at the claimed invention” (*id.*).

Xia’s disclosure that fluorine is an optional substituent in any aryl group (¶¶ 141, 142) would have led one of ordinary skill in the art, through no more than ordinary creativity, to use fluorine as an aryl group substituent in formulas FX4 and FX5. *See KSR Int’l Co. v. Teleflex Inc.*, 550 U.S. 398, 418 (2007) (in making an obviousness determination one “can take account of the inferences and creative steps that a person of ordinary skill in the art would employ”).

Thus, we are not persuaded of reversible error in the rejection.

#### DECISION/ORDER

The rejection of claims 1–5 under 35 U.S.C. § 103 over Xia is affirmed.

It is ordered that the Examiner’s decision is affirmed.

No time period for taking any subsequent action in connection with this appeal may be extended under 37 C.F.R. § 1.136(a).

AFFIRMED