AO 121 (6/90)

| TO: | |
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| COMMISSIONER OF PATENTS AND TRADEMARKS<br>(USPTO)<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | REPORT ON THE<br>FILING OF DETERMINATION OF AN<br>ACTION REGARDING A PATENT OR<br>TRADEMARK |

In compliance with 35 U.S.C. 290 and/or 15 U.S.C. 1116 you are hereby advised that a court action has been filed on the following patent(s)/trademark(s) in the U.S. District Court:

| DOCKET NO.<br>10 C 716 | DATE FILED:<br>3/9/2010 | UNITED STATES DISTRICT COURT, NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION |
|---|---|---|
| **Plaintiff(s):**<br>Trading Tech. Intl Inc | **Defendant(s):**<br>SunGard Data Sysems, Inc. | |
| TRADEMARK NUMBER | DATE OF TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 7,212,999 | 05/01/2007 | Richard W. Friesen, Peter C. Hart |
| 7,533,056 | 05/12/2009 | Same as above |
| 7,676,411 | 03/09/2010 | Gary A. Kemp, II, Jens-Uwe Schluetter, Harris Brumfield |
| | | |
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In the above-entitled case, the following trademarks(s) have been included:

| DATE INCLUDED | INCLUDED BY<br>[ ] Amendment [ ] Answer [ ] Cross Bill [ ] Other Pleading | |
|---|---|---|
| TRADEMARK NUMBER | DATE OF TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1. | | |
| 2. | | |
| 3. | | |

In the above-entitled case, the following decision has been rendered or judgment issued:

| DECISION/JUDGMENT | | |
|---|---|---|
| CLERK - THOMAS G. BRUTON | DEPUTY CLERK: S. James | DATE: 5/18/2015 |